



eSerials Cataloging Using the CONSER Standard Record

Steve Shadle

Serials Access Librarian

University of Washington Libraries

Agenda

- Provide an overview of the CONSER Standard Record (CSR)
- Create an eSerial catalog record using the *CONSER RDA Cataloging Checklist*
- Compare current CSR practice with anticipated CONSER RDA practice





But First, The Basics

- Definition of a Serial
- Describe the Serial as a Whole
- Successive Entry
- Basis of Description (First Issue)
- Main Entry/Preferred Access Point
- Still PCC Provider-Neutral Policy



CONSER Standard Record

- Reduce Redundancy Within Catalog Record
- Emphasize Access Points
- Simplify Record Creation and Maintenance
- Mandatory Element Set (Floor)

<http://www.loc.gov/catdir/cpsoc/conserdoc.pdf>



CONSER Standard Record

- Metadata Application Profile (8 p.)
- Decision-Making Guidance (5 p.)
 - Main Entry
 - Corporate Body Formulation
 - Major vs. Minor Changes
 - Editing Existing Copy
- Examples (6 p.)



CONSER RDA Cataloging Resources

Core CSR Elements:

<http://www.loc.gov/aba/pcc/conser/documents/CONSER-RDA-core-elements.doc>

MARC Elements:

<http://www.loc.gov/aba/pcc/conser/documents/CONSER-MARC-to-RDA.doc>

Cataloging Checklist:

<http://www.loc.gov/aba/pcc/conser/documents/CONSER-RDA-checklist.doc>

- Creating serial records using RDA/MARC 21/ISBD/CSR
- Additional elements can be added based on individual library policy
- Non-textual or special subjects (law, music) better handled by other guidelines



Is Your Resource a Serial? – RDA 2.13

- In case of doubt, LCPS 0.0



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Basis of Identification – RDA 2.1.2.3

- Identify the earliest issue
- Note (*MARC 588*) earliest and latest issues used as the basis of identification
- RDA note text meant to be illustrative, not prescriptive
- Follow CONSER practice



Preferred Source of Information – RDA 2.2.2.2

Title page/sheet/card. Lacking that:

- cover (image)
- caption (image)
- masthead (image)
- colophon (image)
- any source containing the title (e.g., analytic t.p.)
- any source in the resource



Examine the Serial

- Archive page
- Earliest and current issues
- Journal home page
- *About* page
- Editorial pages





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Stigma Research and Action

Stigma Research and Action is an open access journal that provides a multi-disciplinary, international forum for the presentation of scholarly research pertaining to prejudice and discrimination that is caused by health conditions, disabilities, or membership in marginalized social or cultural groups.

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Announcements

No announcements have been published.

[More Announcements...](#)

Vol 2, No 2 (2012): Spring

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Volume 2 (2), 2012

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Vol 1, No 1 (2011): Winter

Angels and Gypsies. Restaurant window (restaurant with the same name), Madrid, Spain



Vol 1, No 2 (2011): Summer

Smoothing the Shroud, by Trish Evers

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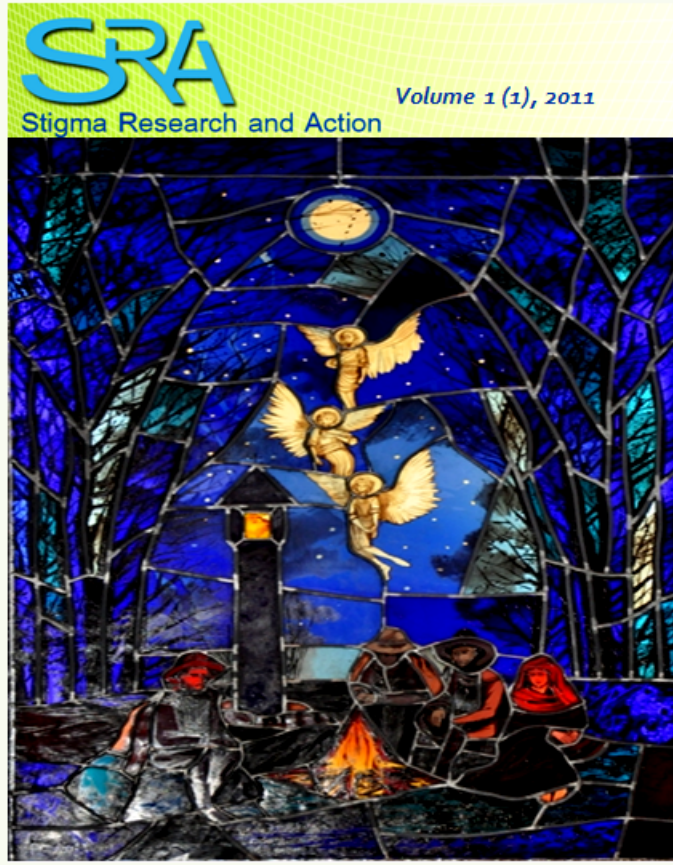
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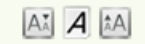
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Mailing Address

Editor-in-Chief, Community Health & Epidemiology, Abramsky Hall, Room 342b, Queen's University, Kingston, Ontario, Canada, K7L 3N6

Principal Contact

Amir Tal
Executive Editor
The Department of Community Mental Health, University of Haifa
Email: amir.tl@gmail.com

Support Contact

Peter Vos
Email: ojsadmin@ubvu.vu.nl

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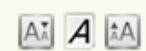
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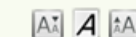
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Editorial

The Need for Stigma Research and Action

Heather Stuart, PhD

Queen's University, Kingston, Ontario, Canada, Editor-in-Chief

Stigma and discrimination affect the lives of people who are perceived as different from the norm in some important way—the physically or mentally disabled, those who are overweight or obese, those who suffer from infectious diseases ranging from leprosy to HIV/AIDS, as well as members of certain cultural and minority groups. While the exact mechanisms are unknown, the stigmatization process most certainly includes a complex array of personal, interpersonal, and structural components. Link and Phelan (2001) refer to this as a *labyrinth of details* that creates substantial social inequalities in life circumstances even when it is difficult for an individual to specify any single causal event. Multiple, mutually reinforcing mechanisms are used by dominant groups to maintain their pre-eminence. When one mechanism is weakened, another is strengthened. Over time the process becomes increasingly complex, subtle, and covert.

When mechanisms are not fully understood—either because they are complex, mutually reinforcing, or difficult to discern—effective intervention becomes more difficult, though not impossible. The famous public health example of John Snow—who took the handle off the Broad Street water pump in London, to stave off the cholera epidemic of 1854—shows how the causal chain of events can be disrupted even without knowing the specific causal agent. Snow's intervention predated germ theory by about 10 years (Friis & Sellers, 1996). Yet, as already pointed out, what makes stigma different is that there is no single causal pathway, but many different mutually reinforcing parts all of which interact like cogs to create cycles of disadvantage. There is no single agent to disrupt; no simple fix; no handle to remove from the pump.

Although the pervasiveness and complexity of stigma may be daunting, Sartorius has argued that the cyclical complexity gives us multiple entry points and wide room for action (Sartorius & Schulze, 2005). Thus, in his view, there is no one who could not contribute to fighting stigma and its consequences, and

no perspective that could not (or, perhaps should not) be accommodated. Indeed, scientific interest in stigma has come from a variety of disciplines—everything from law to humanities and from policy to practice. This has resulted in a rich but scattered literature with little opportunity for inter-disciplinary syntheses. Methodological innovations and findings have not easily found their way across the various substantive areas of investigation and there has been little theoretical or methodological discussion about benchmarks for success for anti-stigma work. Much of the theoretical research has been grounded in scientific world views that have excluded the lived experiences of people who bear the brunt of stigma and discrimination. New person-centred approaches to measurement are emerging, but they remain in their infancy pending future psychometric validation. At the same time, policy and public health interest in reducing stigma is on the rise and new programs are emerging at international, national, and regional levels. Yet, scientific attempts to bridge the knowledge-to-action gap have been meagre. As a result, many programs have not been evaluated or remain uninformed about best principles or practices.

The time is ripe for an inter-disciplinary platform to foster scholarly discussion and debate; and to consolidate and expand best practice knowledge in stigma reduction. It is with these goals in mind that scholars from a variety of disciplines have come together to create *Stigma Research and Action*. Our Editorial Board and organizational partners are committed to providing an international forum for research and practice pertaining to social prejudice and discrimination, whatever their manifestations: health conditions, disabilities, or socio-cultural standing.

This, our inaugural issue of *Stigma Research and Action*, illustrates our commitment to maintaining the broadest possible perspective. We have included original research pertaining to HIV and homosexuality stigma (Cianelli *et al.*), obesity bias (Li & Rukavina), mental illness stigma (Chanean *et al.*;

Does Cataloging Copy Exist?

- eSerial record
 - Provider-neutral practice
 - Major change?
- Print version record
- OCLC authorizations



Continuing Resources Rec stat c Entered 20110413 Replaced 20120317040526.0

Type	a	ELvl	M	Srce	d	GPub		Ctrl		Lang	eng
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130	0		Stigma research and action		(Online)
245	1	0	Stigma research and action	#h	[electronic resource].
260			Amsterdam	#b	Universiteitsbibliotheek VU.
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538			Mode of access:		Internet.
710	2		SPARC		(Organization)
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856	4	0	#z	Freely available from Freely Accessible Science Journals: 2011/01/01 to present	#u http://stigmaj.org

General Editing Instructions (RDA)

- *Transcribe* (RDA/LCPS 1.7) vs. *Record*
 - Capitalization
 - Abbreviations
- Authorized access points (Appendix E/LCPS 1.7.1)
 - Preferred titles (RDA 6.2.1)
 - Names (RDA 8.5)



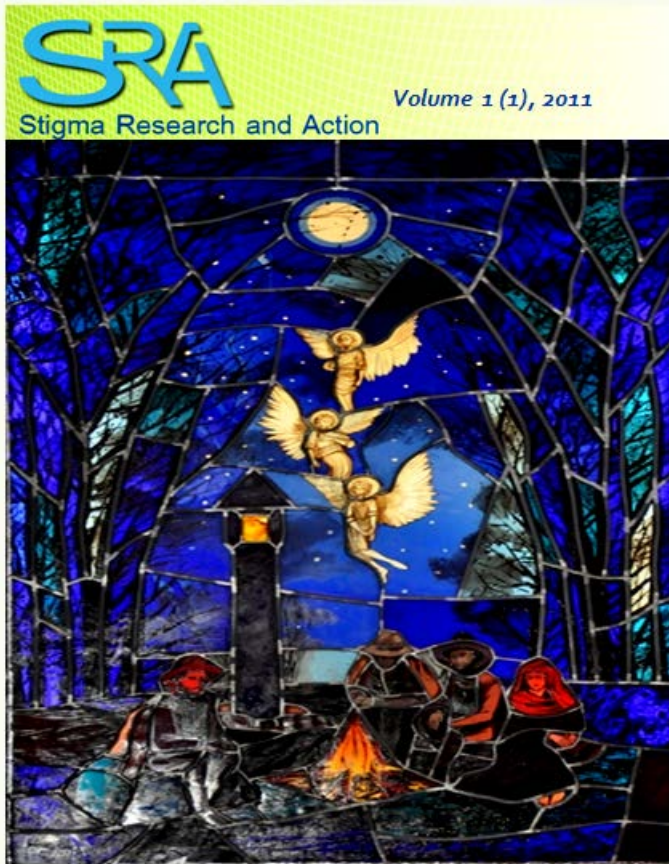
Title Proper -- RDA/LCPS 2.3.2

MARC 245 \$a\$n\$p

- Transcribe from basis of description/preferred source
- Capitalize as appears or follow Appendix A
- Include articles and set filing indicator
- Do not transcribe introductory words
- Supply mark of omission for numbers/dates (including at beginning of title)
- Correct misspellings and add misspelling as title access point
- Transcribe names as title proper with no supplied subtitle
- If no title anywhere, supply title



Title Proper - Example



245 ?0 \$a Stigma research and action



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Parallel Title – RDA/LCPS 2.3.3

MARC 246 \$a

- Only record in 246 11 (CSR)
- Entire resource is preferred source (RDA)
- Chief source (substitute) is preferred source (AACR2)



Parallel title - Example

Note: Turkish title not on chief source

AACR2 CSR

```
245 00 $a Turkish journal of zoology  
246 3  $a Turk zoologi dergisi
```

RDA CSR

```
245 00 $a Turkish journal of zoology  
246 11 $a Turk zoologi dergisi
```



Other Title Information – RDA 2.3.4

MARC 245 \$b

- AACR2 CSR
 - Required in limited situations
 - Can use quoted note (cataloger's judgment)
- RDA CSR: Not CONSER Core
 - May transcribe if it provides clarification or support to a title proper that otherwise is misleading
- If transcribed, must come from same source as title proper



Statement of Responsibility (Title Proper) – RDA 2.4.2

MARC 245 \$c

- Not CONSER Core
 - May be transcribed in 550 if important for identification
 - Instead rely on authorized access points (AACR2/RDA)
 - Use relationship designators (RDA)



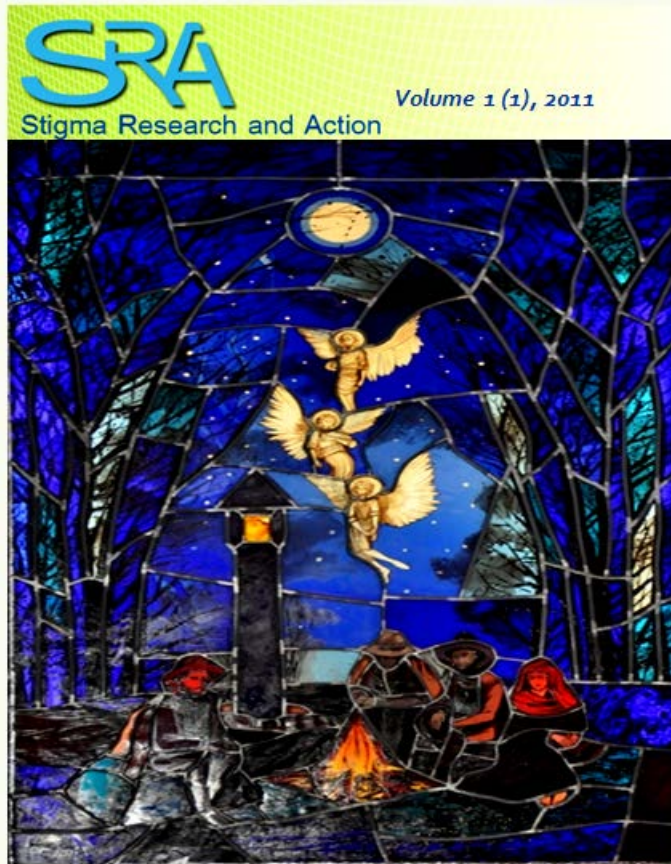
Variant Titles

MARC 246

- RDA 2.3.6 – Variant title on resource
- RDA/LCPS 2.3.2.13 – Later title proper that represent minor changes



Variant Title - Example



246 1^ \$a SRA



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MARC 250

- Statement that relates to the serial as a whole

250	Western edition
250	Teacher's ed..

NOT

250	1st ed.
250	2010 edition



Publication (AACR2)

MARC 260 \$a\$b\$c

- Not required to transcribe dates (\$c)
- Only first-named place required (\$a)
- If no formal publishing statement, infer from:
 - Address of issuing body
 - Editorial office address (not personal address of editor)
 - Subscription address
- For eSerials: Transcribe if readily available, otherwise provide probable place or *[s.l.]*
- Abbreviate per Appendix B
- Allowed to omit hierarchy in publisher name



Publication (RDA) -- RDA/LCPS 2.8

MARC 264 #1 \$a\$b\$c

- Only first-named place (\$a) or publisher (\$b) required
- Prefer supplying probable place/publisher rather than place/name of distributor/manufacture
- Do not omit levels of corporate hierarchy
- Record dates (\$c) if known and first/last issue are in hand
- Copyright date not required (LCPS 2.11)





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260 \$a Amsterdam, The Netherlands : \$b VU e-Publishing

264 ^1 \$a Amsterdam, The Netherlands : \$b VU e-Publishing,
\$c 2011-

Identifiers – RDA 2.15

- ISSN -- MARC 022
 - Valid (022 \$a)
 - Invalid (022 \$y)
- CODEN -- MARC 030
 - Valid (030 \$a)
 - Invalid (030 \$z)

022			2210-5174 #I 2210-5174
090			#b
049			WAUW
130	0		Stigma research and action (Online)
045	4	0	Stigma research and action (Online)



Extent -- RDA/LCPS 3.4

MARC 300 \$a

AACR2 CSR

- Required only for tangible, non-print formats

RDA CSR

- Required for all formats but only provide if complete or full extent known
- If complete, but not known give term (e.g., *volumes*)
- Use terms listed at 3.3.1.3



Extent -- RDA/LCPS 3.4

MARC 300 \$a

AACR2 CSR

300 \$a CD-ROMs

RDA CSR

300 \$a 6 volumes

300 \$a volumes

300 \$a 6 microfilm reels

300 \$a 1 online resource [??]



Illustrative Content -- RDA 7.15

MARC 300 \$b

AACR2 CSR

- Not required

RDA CSR

- Not CSR core
- LC core for children's materials



Frequency – RDA 2.14/2.20.12

MARC 310/321

AACR2 CSR

- Not required to code fixed field
- Only current frequency required (if known)
- Do not delete former frequencies
- Do not use *Frequency varies*

RDA

- Only current frequency required (if known)
- Use terms listed in 2.14 unless frequency not listed



General Material Designation (AACR2)

MARC 245 \$h

- *[electronic resource]* for anything online



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Content Type (RDA) – RDA 6.9

MARC 336

- RDA CSR Core
- Use terminology at 6.9.1.3 with *\$2 rdacontent*
- Textual serials

336 \$a text \$2 rdacontent



Media Type (RDA) – RDA 3.2

MARC 337

- RDA CSR Core
- Use terminology at 3.2.1.3 with *\$2 rdamedia*
- Print serial

337 \$a unmediated \$2 rdamedia

- eSerial

337 \$a computer \$2 rdamedia



Carrier Type (RDA) – RDA 3.3

MARC 338

- RDA CSR Core
- Use terminology at 3.3.1.3 with *\$2 rdacarrier*
- Print serial

338 *\$a volume \$2 rdacarrier*

- eSerial

338 *\$a online resource \$2 rdacarrier*



AACR2 CSR

245 00 \$a Stigma research and action \$h [electronic resource].

RDA CSR

245 00 \$a Stigma research and action.

336 \$a text \$2 rdacontent

337 \$a computer \$2 rdamedia

338 \$a online resource \$2 rdacarrier



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Numbering/Dates (AACR2 CSR)

- Use MARC 362 1# *Began...* to supply numbering/dates of publication whenever this information is available, regardless of whether the first/last issue(s) are in hand or not
- Captions and months may be transcribed as found (including abbreviations and capitalization) or they may be recorded using standard AACR2 abbreviations.
- Numbers (including ordinal numbers) may be transcribed as found or they may be recorded as Arabic numerals, whichever is easier
- If numbering is not present or known, give the beginning date of publication or the copyright date
- If numbering includes both issue number and date, put parentheses around the date
- Include new series designations, changes in numbering, etc., in this field or in the 515, whichever is clearer
- Substitute a hyphen for a slash for clarity (*2008-2009* may be transcribed as *2008/2009*)



Numbering/Dates (RDA CSR) – RDA/LCPS 2.6

- Four separate RDA elements
 - Numeric and/or alphabetic designation of first issue or part of sequence (2.6.2)
 - Chronological designation of first issue or part of sequence (2.6.3)
 - Numeric and/or alphabetic designation of last issue or part of sequence (2.6.4)
 - Chronological designation of last issue or part of sequence (2.6.5)
- But in practice, RDA CSR is similar to AACR2 CSR



Numbering/Dates (RDA CSR) – RDA/LCPS 2.6

- Use MARC 362 1# *Began...* to supply numbering/dates of publication whenever this information is available, regardless of whether the first/last issue(s) are in hand or not
- **Captions and months are transcribed as found**
- **Numbers are transcribed as found. However, LCPS 1.8.2 2nd alternative: catalogers are allowed to provide an alternative form (*tome III [3]*)**
- If numbering is not present or known, give the beginning date of publication or the copyright date
- If numbering includes both issue number and date, put parentheses around the date
- Include new series designations, changes in numbering, etc., in this field or in the 515, whichever is clearer
- Substitute a hyphen for a slash for clarity (*2008-2009* may be transcribed as *2008/2009*)





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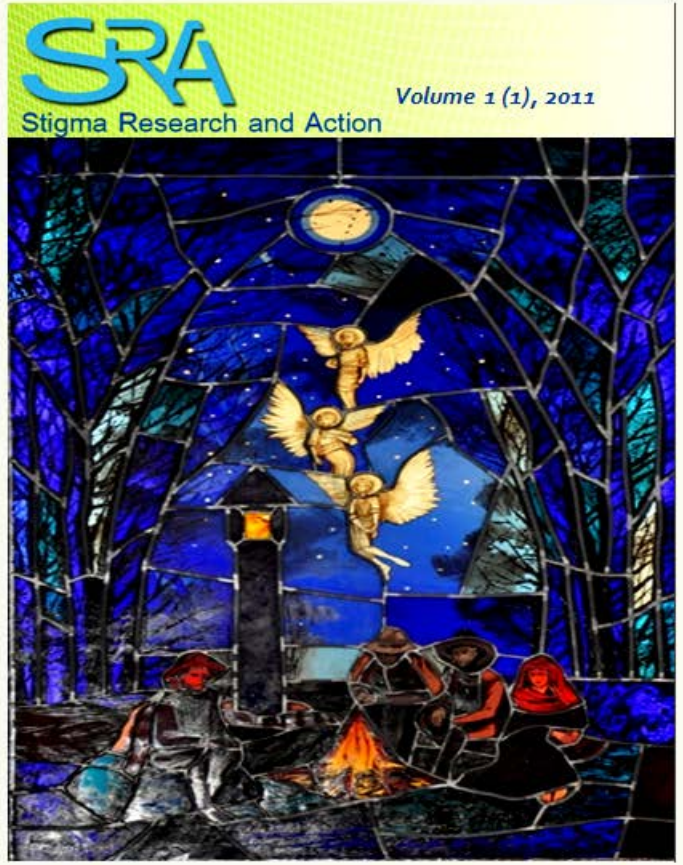
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Vol 1, No 1 (2011)

Winter

TABLE OF CONTENTS



Angels and Gypsies. Restaurant window (restaurant with the same name), Madrid, Spain

Both AACR2/RDA CSR

362 1^ \$a Began with:
Vol 1, No 1 (Winter
2011).

Note: Depending on the source used and practice followed, there may correctly be slight differences from cataloger to cataloger.

Series Statement (AACR2 CSR)

Either MARC 490 or 8XX

- MARC 490 required only if series added entry not being made
- Useful if changes in a series title over time need to be documented



Series Statement (RDA CSR) – RDA 2.12

RDA core if authorized access point for series not provided

Only 3 elements required

- Title proper of series/subseries (MARC 490 \$a -- RDA 2.12.2/2.12.10)
- ISSN of series/subseries (MARC 490 \$x – RDA 2.12.8/2.12.16)
- Numbering within series/subseries (MARC 490 \$v – RDA 2.12.9/2.12.17)

Note: Do not abbreviate series numbering captions and do not change Roman numerals to Arabic numerals



Series Statement Example

EITHER

AACR2: 490 \$a Memoir / Royal British Columbia
Museum, \$x 0843-5383 ; \$v no. 4

RDA: 490 \$a Memoir / Royal British Columbia
Museum, \$x 0843-5383 ; \$v number 4

OR

AACR2: 830 ^0 \$a Memoir (Royal British Columbia
Museum) ; \$v no. 4

RDA: 830 ^0 \$a Memoir (Royal British Columbia Museum)
; \$v number 4

BUT NOT BOTH!



Uniform Resource Locator – RDA/LCPS 4.6

MARC 856 4X \$u

Current CSR Guidelines

- Should be generally-accessible URLs that point to publisher's version or trusted archive
- Institution-specific URLs or URLs requiring a password should not be recorded in the CONSER record

RDA Guidelines

- LCPS 4.6.1.4 outlines a practice similar to the current LCRI 9.7



Language/Script of Expression/Content – RDA 6.11/7.12

MARC Lang/041/546

CSR (AACR & RDA)

- Record complete information regarding languages in MARC 546
- Only required to provide \$a in 041
- Only required to provide script in 546 \$b if
 - the language is commonly written in more than one script, or
 - the content is in a script that is not the primary one for the language



Title Source Note – RDA/LCPS 2.20.2

MARC 588

- No change in practice other than recording of date/numbering already mentioned
 - Always note both DBO and LIC in separate 588s
 - Always cite title source (even if t.p.)
 - Always cite provider and date viewed
- RDA wording (***Identification of the resource based on...***) is only an example. CONSER will continue to use ***Description based on...***





Archives

2012

[Vol 2, No 2 \(2012\): Spring](#)

2011

[Vol 1, No 1 \(2011\): Winter](#)

Angels and Gypsies. Restaurant window (restaurant with the same name),

[Vol 1, No 2 \(2011\): Summer](#)

Smoothing the Shroud, by Trish Evers

BOTH AACR2/RDA

588 \$a Description based on:
Vol 1, No 1 (Winter 2011);
title from PNG cover
(publisher's website, viewed
Oct. 12, 2012).

588 \$a Latest issue
consulted: Vol 2, No. 2
(Spring 2012) (publisher's
website, viewed Oct. 12,
2012).



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AACR2 Main Entry / RDA Authorized Access Point for a Work

AACR2 (21.1)

Persons: LCRI 21.1A2

Corporate Bodies: 21.1B

Title: 21.1C

RDA (6.27.1)

Person/Family Creators:

19.2.1.1.3

Corporate Body Creators:

19.2.1.1.1

+ Preferred Title for a Work



AACR2 Uniform Title

RDA Preferred Title for a Work/Language Expression

AACR2/LCRI

Conflict Resolution:
25.5B¹

Language: 25.5C²

¹CSR requires uniform title for generic titles and monographic series

²CSR records language uniform title in MARC 730

RDA (Work Title: 6.2.2)

Additions to Work Access Points:
RDA/LCPS 6.27.1.9

RDA (Language Expression: 6.27.3 & 6.11)

Preferred Title for Work +
Language of Expression (\$I)



Or Put Another Way.....

In RDA, a uniform title is required to distinguish works and expressions:

130 *\$a Monthly statistics (Indiana. Department of Licensing)*

130 *\$a Doklady Akademii nauk. \$l English.*

but not manifestations:

245 00 *\$a Journal of abracadabra.*

245 00 *\$a Journal of abracadabra.*

338 *\$a volume \$2 rdacarrier*

338 *\$a online resource \$2 rdacarrier*

Note: Monographic series published in two different media types should be distinguished if there are conflicts (LCPS 6.27, Section H)



Other Persons/Corporate Bodies/Families (RDA 19.3)

- Catalogers may provide a relationship designator that reflects the nature of the relationship (RDA Appendix I)

100 3# \$a Utley (Family : \$g Utley, John Allen), \$e author.

710 2# \$a Eastman Kodak Company, \$e issuing body.

AACR2 CSR: All headings are required per AACR2

RDA CSR: Only primary creator and any access point used to construct an authorized access point are required. Others access points are left to cataloger judgment.



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Stigma Research and Action

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Publisher

VU e-Publishing
De Boelelaan 1103
1081 HV Amsterdam
The Netherlands

Please contact [Arjan de Rooy](#), publishing consultant

[VU e-Publishing](#)

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Stigma Research and Action is a non-for-profit peer reviewed open-access journal that is fully reliant on sponsorship support from a variety of agencies and organizations, whom we wish to thank for their support!



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- [Association for the Improvement of Mental Health Programmes](#)

Sources of Support

Affiliated Organizations

- [VU University, Amsterdam, The Netherlands](#)
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- [The Stigma section at the World Psychiatric Association, Switzerland](#)
- [Like Minds Like Mine, New Zealand](#)
- [The German Alliance for Mental Health, Germany](#)
- [Employer's Forum on Disability, United Kingdom](#)
- [The National Consortium on Stigma and Empowerment \(NCSE\), USA](#)
- [Abiliko, Israel](#)
- [Opening Minds, Mental Health Commission of Canada, Canada](#)

AACR2 CSR

Generally don't provide added entries for sponsoring bodies

RDA CSR

In this case, no primary creator

Sponsoring body is a relationship designation so cataloger's judgment on whether sponsor is an access point

Relationships Between Works, Expressions & Manifestations

- No significant differences between AACR2 & RDA CSR practices
- Recommended practice to provide relationship designator if MARC tagging doesn't describe the relationship (MARC 787)



Related Serial Works (RDA Chapter 25)

- Sequential (MARC 780/785)
- Accompanying/Supplemental (MARC 770/772, 787)
- Whole/Part in a Monographic Series (MARC 830)



Related Serial Expressions (RDA Chapter 26)

- Translations (MARC 765/767)
- Language Editions (MARC 767)

RDA 6.2.2.3

If a work is published simultaneously in the same language under different titles, choose the title proper of the first resource received as the preferred title



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Related Serial Manifestations (RDA Chapter 27)

- Different formats (MARC 776)
- Reproductions (MARC 775)
- Reprints (MARC 775)

LC RDA policy for reproductions is to follow RDA and catalog the reproduction (although serial reproductions are still cataloged as serial even if mode of issuance is single-unit or multipart monograph)



Change of Format

AACR2

- MAJOR: Change of SMD (LCRI 21.3B)

300 \$a diskettes → *300 \$a CD-ROMs* (Major change)

RDA

- MAJOR: Change of media type (1.6.2.2/3.2)

- MINOR: Change of carrier type (3.22.6/3.3)

337 \$a computer → *337 \$a computer* (Minor change)

338 \$a computer disc → *338 \$a online resource*



Final Record – AACR/CSR

OCLC 742291465 No holdings in WAU - 19 other holdings

Continuing Resources	Rec stat	c	Entered	20110413	Replaced	20120317040526.0					
Type	a	ELvl	l	Srce	d	GPub		Ctrl		Lang	eng
BLvl	s	Form	o	Conf	0	Freq	f	MRec		Ctry	ne
S/L	0	Orig		EntW		Regl	x	Alph			
Desc	a	SrTp	p	Cont		DtSt	c	Dates	2011	,	9999

006 m d

007 c #b r

040 QE2 #c QE2 #d WAU

022 2210-5174 #l 2210-5174

245 0 0 Stigma research and action #h [electronic resource].

246 1 SRA

260 Amsterdam, The Netherlands : #b VU e-Publishing

310 Two issues per year

362 1 Began with: Vol 1, no 1 (winter 2011).

588 Description based on: Vol 1, no 1 (winter 2011); title from PNG cover (publisher's website, viewed Oct. 12, 2012).

588 Latest issue consulted: Vol 2, no 2 (spring 2012) (publisher's website, viewed Oct. 12, 2012).

650 0 Stigma (Social psychology) #v Periodicals.

856 4 0 #u <http://stigmaj.org>

Final Record – RDA/CSR

Continuing Resources ▼ Rec stat c Entered 20110413 Replaced 20120317040526.0

<u>Type</u> a	<u>ELvl</u> l	<u>Src</u> d	<u>GPub</u>	<u>Ctrl</u>	<u>Lang</u> eng
<u>BLvl</u> s	<u>Form</u> o	<u>Conf</u> 0	<u>Freq</u> f	<u>MRec</u>	<u>Ctry</u> ne
<u>S/L</u> 0	<u>Orig</u>	<u>EntW</u>	<u>Regl</u> r	<u>Alph</u>	
<u>Desc</u> i	<u>SrTp</u> p	<u>Cont</u>	<u>DtSt</u> c	<u>Dates</u> 2011 , 9999	

006 m d

007 c #b r

040 QE2 #c QE2 #e rda #d WAU

022 2210-5174 #l 2210-5174

245 0 0 Stigma research and action.

246 1 SRA

264 1 Amsterdam, The Netherlands : #b VU e-Publishing, #c 2011-

310 Semiannual

336 text #2 rdacontent

337 computer #2 rdamedia

338 online resource #2 rdacarrier

362 1 Began with: Vol 1, No 1 (Winter 2011).

588 Description based on: Vol 1, No 1 (Winter 2011); title from PNG cover (publisher's website, viewed Oct. 12, 2012).

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Questions?